

CG Asset Management

MiFID II Website Disclosure

NOVEMBER 2020

cgam

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The European Commission has adopted new rules revising the Markets in Financial Instruments Directive (2014/65/EU) (MiFID) and the Markets in Financial Instruments Regulation (600/2014) commonly referred to as MiFID II that come into effect from 3 January 2018. The new regime is far reaching and aims to reinforce and add to existing structural, transparency and investor protection rules.

Important Information

Client Classification

Under MiFID II, we are required to inform you that CG Asset Management Limited ("CGAM") is an investment firm operating in the UK. Our registered address is 20 King Street, London, EC2V 8EG. CGAM is to be treated as a professional client in dealings with other financial firms.

We are authorised and regulated by the Financial Conduct Authority ("FCA"). The FCA's address is: 25 The North Colonnade, Canary Wharf, London, E14 5HS, United Kingdom.

Research

CGAM does not have any fee, commission or non-monetary benefit arrangements with market counterparties beyond that which apply to execution costs.

CGAM uses very little external research, we conduct our own internal research on the investment we make. We consider research to be the core activity of the asset manager. Any external research costs will be borne by us, we do not have any current plans to establish a research payment account or similar arrangements.

Fees

The funds managed by CGAM have a single charging structure that applies to all clients equally.

The Firm

CGAM is the delegated portfolio manager for two self-managed Irish Unitised Collective Investments in Transferable Securities ("UCITS") and is also manager of a UK Alternative Investment Fund ("AIF"). CGAM cannot hold client money and does not offer financial advice.

CGAM's regulatory reference number is [195763](#) and further information about our regulatory permissions can be found on the [Financial Services Register](#).

Communications

All communications from CGAM will be provided in English.

European MiFID II Template

If you would like to receive the European MiFID II Template (“EMT”) please email info@cgasset.com or otherwise speak with one of our team.

Retail investors who are customers of authorised financial services firms and who have invested in the funds managed by CGAM are protected under the Financial Services Compensation Scheme (FSCS) up to limits as set out by the FSCS. These protections are not extended to professional investors.

If you wish to complain about the conduct of CGAM, or the funds we manage please refer to our complaints procedure.

Should you have any further questions, please email info@cgasset.com